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## $K$ -orthonormal and $K$ -Riesz Bases

Ahmad Ahmadi<sup>1\*</sup> and Asghar Rahimi<sup>2</sup>

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ABSTRACT. Let  $K$  be a bounded operator.  $K$ -frames are ordinary frames for the range  $K$ . These frames are a generalization of ordinary frames and are certainly different from these frames. This research introduces a new concept of bases for the range  $K$ . Here we define the  $K$ -orthonormal basis and the  $K$ -Riesz basis, and then we describe their properties. As might be expected, the  $K$ -bases differ from the ordinary ones mentioned in this article.

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### 1. INTRODUCTION

Frames and bases play a very important role in linear algebra and approximation theory. Many up-to-date technologies, such as radio communications, cell phones, wireless networks, image processing, signal processing do not work well without applying these concepts.

Gabor [11] formulated a new method for signal decomposition and signal expansion based on preliminary signals. According to this study, Duffin and Schaeffer [9] provided frames for Hilbert spaces to solve the non-harmonic series. Until 1980, the importance of frames was not known. In this year, Daubechies, Grossmann, and Meyer [6] frames were re-introduced, and after that, a lot of research was done on frames.

Today, many researchers are researching and defining many generalizations for frames that can be referred to as G-frames [17], Fusion frames [4], Weaving frames [3], Coherent frames [2], etc.

One of the new branches of frame theory that has come to the attention of researchers today is  $K$ -frames, first introduced by L. Găvruta [12]. Assume that  $\mathcal{H}$  is a separable Hilbert space and  $K$  is a bounded operator on it, then  $K$ -frames are ordinary frames for the range of  $K$ .

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If  $K = I$ , where  $I$  is the identity operator on  $\mathcal{H}$ , then ordinary frames which become  $I$ -frame.

Since ordinary frames are a special case of  $K$ -frames, so they have differences with these frames, for example, the corresponding  $K$ -frame synthesis operator is not onto. The alternative dual frames for  $K$ -frames also are not interchangeable.

Many researchs have been done in this area, such as weaving  $K$ -frames [7], controlled  $K$ -frames [14],  $K$ -frames and their duals [1], operator perturbation of a  $K$ -frame [13]. So far no one has talked about the bases of range  $K$ . In this manuscript, we define  $K$ -orthonormal and  $K$ -Riesz bases and describe their properties and differences with ordinary ones.

This article contains four sections with outlines below; In Section 2, we review important results related to frames,  $K$ -frames, orthonormal and Riesz bases for Hilbert spaces. In Section 3, we study  $K$ -orthonormal basis. This section explains the relationship between the  $K$ -orthonormal basis and an ordinary orthonormal basis. We will provide the necessary and sufficient condition for the  $K$ -dual orthonormal basis to be the  $K^*$ -orthonormal basis. Finally, in Section 4, we define  $K$ -Riesz basis and discuss about its properties.

## 2. NOTATION AND PRELIMINARIES

This section contains concepts that will be used in later sections. We begin with some notation.

Below are the notations used throughout the article.

$\mathcal{H}$  and  $\mathcal{K}$  are separable Hilbert spaces  $B(\mathcal{H})$  is the algebra of bounded linear operators on  $\mathcal{H}$ . An operator  $T$  on  $\mathcal{H}$  is an isometry operator whenever  $T^*T = I$  and is called co-isometry whenever  $TT^* = I$  where  $I$  is the identity on  $\mathcal{H}$ . The range and the kernel of an operator  $T$  are denoted by  $R(T)$  and  $N(T)$ , respectively.

**Theorem 2.1** ([5]). *Let  $T : \mathcal{H} \rightarrow \mathcal{K}$  be a bounded operator such that  $R(T)$  is closed. Then there exists a bounded operator  $T^\dagger : \mathcal{K} \rightarrow \mathcal{H}$  such that for any  $f \in R(T)$ ,  $TT^\dagger f = f$ .*

The operator  $T^\dagger$  in the above theorem, is called the pseudo-inverse of  $T$ . The following theorem, which is one of the most useful results in  $K$ -frame theory is known as Douglas Theorem:

**Theorem 2.2** ([8]). *Assume that  $S, T \in B(\mathcal{H})$ . The following statements are equivalent:*

- (i)  $R(T) \subseteq R(S)$ ;
- (ii) *there exists a constant  $c$  such that  $TT^* \leq c^2SS^*$ ;*
- (iii)  $T = SC$  for some  $C \in B(\mathcal{H})$ .

**Corollary 2.3** ([10]). *Assume that  $S, T \in B(\mathcal{H})$ . Then the following statements are equivalent*

- (i)  $T = SC$  for some invertible operator  $C$  on  $\mathcal{H}$ ,
- (ii)  $R(T) = R(S)$  and  $N(T) = N(S)$ .

The sequence  $\{Uu_n\}$  is called Riesz basis for  $\mathcal{H}$ , whenever  $U \in B(\mathcal{H})$  is bijective and  $\{u_n\}_{n=1}^\infty$  is an orthonormal basis for  $\mathcal{H}$ . We abbreviate orthonormal basis as ONB and "Riesz basis" as RB.

**Definition 2.4.** A sequence  $\{h_j\}_{j=1}^\infty$  is called frame for  $\mathcal{H}$  whenever there exist two constants  $0 < A \leq B < \infty$  such that

$$(2.1) \quad A\|h\|^2 \leq \sum_{j=1}^{\infty} |\langle h, h_j \rangle|^2 \leq B\|h\|^2, \quad \forall h \in \mathcal{H}.$$

If only the right-hand inequality of (2.1) holds,  $\{h_j\}_{j=1}^\infty$  is called a Bessel sequence. Now, two Bessel sequences  $\{h_j\}_{j=1}^\infty$  and  $\{g_j\}_{j=1}^\infty$  are called dual frames whenever the following equality holds

$$h = \sum_{i=1}^{\infty} \langle h, g_i \rangle h_i, \quad \forall h \in \mathcal{H}.$$

According to the definitions, both bases are frames and have unique dual. In addition dual necessarily has the properties of the primary bases.

**2.1.  $K$ -frames.**  $K$ -frames are an extension of the frames defined in Definition 2.4. In fact, a  $K$ -frame is an ordinary frame for  $R(K)$ . Here is a brief overview of the concept and theorems of  $K$ -frames and we refer to [1, 7, 13–16, 18] for more information.

**Definition 2.5.** Let  $K \in B(\mathcal{H})$ . A sequence  $\{h_k\}_{k=1}^\infty$  is called a  $K$ -frame for  $\mathcal{H}$  whenever for two constants  $0 < A \leq B < \infty$  and for each  $h \in \mathcal{H}$  the following inequality holds

$$(2.2) \quad A\|K^*h\|^2 \leq \sum_{k=1}^{\infty} |\langle h, h_k \rangle|^2 \leq B\|h\|^2.$$

Now if  $\{h_k\}_{k=1}^\infty$  satisfies equation (2.2) for some  $A > 0$ , then it is called a tight  $K$ -frame.

$$(2.3) \quad A\|K^*h\|^2 = \sum_{k=1}^{\infty} |\langle h, h_k \rangle|^2, \quad \forall h \in \mathcal{H}.$$

If  $A = 1$  in equality (2.3) then the sequence  $\{h_k\}_{k=1}^\infty$  is called a Parseval  $K$ -frame for  $\mathcal{H}$ .

**Theorem 2.6** ([12]). *Assume that  $\{\delta_n\}_{n=1}^\infty$  is an ONB for  $l^2$ . The sequence  $\{h_n\}_{n=1}^\infty$  is a  $K$ -frame iff there exists a bounded operator  $L : l^2 \rightarrow \mathcal{H}$  such that  $h_n = L\delta_n$  and  $R(K) \subseteq R(L)$ .*

**Theorem 2.7** ([15]). *Assume that  $K \in B(\mathcal{H})$  and  $\{h_k\}_{k=1}^\infty$  is a  $K$ -frame. Let  $T \in B(\mathcal{H})$  be a closed range operator such that  $TK = KT$ , then  $\{Th_k\}_{k=1}^\infty$  is a  $K$ -frame.*

Some  $K$ -frames have the property that if any element is removed, they are not  $K$ -frames anymore. These  $K$ -frames are called exact  $K$ -frames. Also, a  $K$ -frame  $\{h_i\}_{i=1}^\infty$  is called  $K$ -minimal frame, whenever for each  $\{c_i\}_{i=1}^\infty \in l^2$  with  $\sum_{i=1}^\infty c_i h_i = 0$ , we have  $c_i = 0$  for all  $i \in \mathbb{N}$ .

**Proposition 2.8.** [1] *Assume that  $\{h_i\}_{i=1}^\infty$  is a  $K$ -frame. Then each of the following statements implies the other one.*

- a) *the sequence  $\{h_i\}_{i=1}^\infty$  has a unique  $K$ -dual,*
- b) *the sequence  $\{h_i\}_{i=1}^\infty$  is a  $K$ -minimal frame.*

### 3. $K$ -ORTHONORMAL BASES

Orthonormal bases are one of the applied topics in linear algebra and Hilbert spaces. With these bases, each member of a Hilbert space can be expanded in a unique way.

Here, we define  $K$ -ONB and relate it to ONB and frames of a Hilbert space.

**Definition 3.1.** A sequence  $\{u_k\}_{k=1}^\infty$  of  $\mathcal{H}$  is called a  $K$ -ONB whenever the following statements hold:

- (i) the sequence  $\{u_k\}_{k=1}^\infty$  is an orthonormal system,
- (ii)

$$\sum_{n \in \mathbb{N}} |\langle h, u_n \rangle|^2 = \|K^*h\|^2, \quad \forall h \in \mathcal{H}.$$

Obviously,  $\{u_k\}_{k=1}^\infty$  is an ONB for Hilbert space  $\mathcal{H}$  if  $K = I_{\mathcal{H}}$ . So the ordinary ONB is a special case of  $K$ -ONB.

**Example 3.2.** Assume that  $\{u_i\}_{i=1}^\infty$  is an ONB in  $l^2$ . Define the operator  $K$  on  $l^2$  by  $Ku_i = u_{i+1}$ . Obviously the sequence  $\{Ku_i\}_{i=1}^\infty$  is a  $K$ -ONB for  $l^2$ .

The question here is whether a  $K$ -ONB is related to an ONB of the Hilbert space. If  $K$  is an isometry, the answer is yes. The following theorem illustrates the relationship between these bases.

**Theorem 3.3.** *Let  $K$  be an isometry operator. The  $K$ -ONBs are precisely the families  $\{Tu_i\}_{i \in \mathbb{N}}$  where  $\{u_i\}_{i=1}^\infty$  is an arbitrary ONB for  $\mathcal{H}$  and  $T$  is an isometry operator of the same range  $K$  on  $\mathcal{H}$ .*

*Proof.* Suppose that  $\{u_i\}_{i=1}^\infty$  is an arbitrary ONB for  $\mathcal{H}$  and  $T$  is an isometry operator on  $\mathcal{H}$  with a range similar to range  $K$ . Since  $K$  and  $T$  are isometries then  $N(T) = N(K) = \{0\}$  and

$$\begin{aligned}\langle Tu_i, Tu_j \rangle &= \langle u_i, u_j \rangle \\ &= \delta_{i,j}.\end{aligned}$$

On the other hand by Corollary 2.3,  $T = KU$  for some invertible operator  $U$  and we have

$$(3.1) \quad \begin{aligned}\langle h, h \rangle &= \langle Th, Th \rangle \\ &= \langle KUh, KUh \rangle \\ &= \langle Uh, Uh \rangle.\end{aligned}$$

Because  $U$  is an invertible operator,  $U$  is a unitary operator by (3.1). Thus

$$\begin{aligned}\sum_{j=1}^{\infty} |\langle h, Tu_j \rangle|^2 &= \|T^*h\|^2 \\ &= \|U^*K^*h\|^2 \\ &= \|K^*h\|^2, \quad \forall h \in \mathcal{H}.\end{aligned}$$

Conversely, assume that  $\{u_i\}_{i=1}^\infty$  is an ONB for  $\mathcal{H}$  and  $\{u'_i\}_{i=1}^\infty$  is a  $K$ -ONB. Set  $d_i = K^*u'_i$ . Since  $K$  is an isometry then  $K$  on  $R(K)$  is unitary and we have

$$(3.2) \quad \begin{aligned}\langle d_i, d_j \rangle &= \langle K^*u'_i, K^*u'_j \rangle \\ &= \langle KK^*u'_i, u'_j \rangle \\ &= \delta_{i,j},\end{aligned}$$

and

$$(3.3) \quad \begin{aligned}\sum_{i=1}^{\infty} |\langle h, K^*u'_i \rangle|^2 &= \|K^*Kh\|^2 \\ &= \|h\|^2, \quad \forall h \in \mathcal{H}.\end{aligned}$$

Thus equations (3.2) and (3.3) imply that  $\{d_i\}_{i \in \mathbb{N}}$  is an ONB. By Theorem 2.3.7 of [5]  $\{d_i\} = \{Uu_i\}$ , where  $U$  is a unitary operator on  $\mathcal{H}$ . Set  $T = KU$ . Since  $K$  is assumed isometry and  $U$  is unitary,  $T$  is an isometry operator and  $R(T) = R(K)$ .  $\square$

The following theorem states that under what conditions on an operator  $T \in B(\mathcal{H})$ , a  $K$ -orthonormal basis becomes a  $T$ -orthonormal basis.

**Theorem 3.4.** *Assume that  $\{u_k\}_{k=1}^\infty$  is a  $K$ -ONB, and let  $T \in B(\mathcal{H})$  be an isometry operator. Assume that  $K$  is a co-isometry operator, then  $\{Tu_k\}_{k=1}^\infty$  is a  $T$ -ONB.*

*Proof.* Assuming  $T$  is an isometry operator on  $\mathcal{H}$ , we have:

$$\begin{aligned}\langle Tu_i, Tu_j \rangle &= \langle T^*Tu_i, u_j \rangle \\ &= \delta_{i,j}.\end{aligned}$$

Thus  $\{Tu_k\}_{k \in \mathbb{N}}$  is an orthonormal system. Also, since  $K$  is a co-isometry operator then

$$\begin{aligned}\sum_{i=1}^{\infty} |\langle h, Tu_i \rangle|^2 &= \sum_{i=1}^{\infty} |\langle T^*h, u_i \rangle|^2 \\ &= \|K^*T^*h\|^2 \\ &= \|T^*h\|^2, \quad \forall h \in \mathcal{H},\end{aligned}$$

which shows that  $\{Tu_k\}_{k=1}^{\infty}$  is a  $T$ -ONB, as desired.  $\square$

**Theorem 3.5.** *Let  $\{u_k\}_{k=1}^{\infty}$  be a  $K$ -ONB and  $T \in B(\mathcal{H})$  such that  $TK = KT$ . Then,  $\{Tu_k\}_{k=1}^{\infty}$  is a  $K$ -ONB if and only if  $T$  is unitary.*

*Proof.* Assume that  $\{u_k\}_{k=1}^{\infty}$  is a  $K$ -ONB and  $h \in \mathcal{H}$ . These assumptions imply that

$$\begin{aligned}(3.4) \quad \sum_{k=1}^{\infty} |\langle h, Tu_k \rangle|^2 &= \sum_{k=1}^{\infty} |\langle T^*h, u_k \rangle|^2 \\ &= \|K^*T^*h\|^2 \\ &= \|T^*K^*h\|^2 \\ &= \|K^*h\|^2.\end{aligned}$$

Also,

$$\begin{aligned}(3.5) \quad \langle Tu_i, Tu_j \rangle &= \langle T^*Tu_i, u_j \rangle \\ &= \delta_{i,j}.\end{aligned}$$

Equations (3.4) and (3.5) are satisfied if and only if  $T$  is unitary.  $\square$

According to  $K$ -ONB's definition, one will naturally find a difference between this basis and the ordinary ONB.

One of the most important ONB properties is this: if the Parseval equation holds for the normalized sequence  $\{h_n\}_{n=1}^{\infty}$  then the sequence  $\{h_n\}_{n=1}^{\infty}$  will be ONB [5]. But this is not true for the normalized  $K$ -sequence.

**Example 3.6.** Assume that  $\mathcal{H}^n$  denotes a Hilbert space with  $\dim(\mathcal{H}^n) = n$ , where  $n = 2k$  for some  $k \in \mathbb{N}$ . Also let  $\{u_i\}_{i=1}^n$  be an ONB for  $\mathcal{H}^n$ .

Define

$$Ku_1 = u_1, \quad Ku_2 = u_1, \quad \dots \quad Ku_{2k-1} = u_k, \quad Ku_{2k} = u_k.$$

Then the sequence  $\{u_1, u_1, u_2, u_2, \dots, u_k, u_k\}$  is a normalized sequence which  $K$ -Parseval equality holds for it but it is not  $K$ -ONB.

A  $K$ -ONB with an ordinary ONB also has other differences that are related to their duals. In the follownig proposition, we state this difference.

**Lemma 3.7.** *Let  $\{u_k\}_{k=1}^\infty$  be a  $K$ -ONB. Then  $\{h_k\}_{k=1}^\infty = \{K^*u_k\}_{k=1}^\infty$  is a  $K$ -dual ONB.*

*Proof.* Let  $h \in \mathcal{H}$  and  $\{g_k\}_{k=1}^\infty$  be  $K$ -dual for  $\{u_k\}_{k=1}^\infty$ . According to the definition  $\{u_k\}_{k=1}^\infty$  is a  $K$ -Parseval frame. By Theorem 3 of [12], there exists a sequence  $\{g_k\}_{k=1}^\infty$ , that satisfies the following equation.

$$Kh = \sum_{n=1}^{\infty} \langle h, g_n \rangle u_n.$$

Because  $\{u_k\}_{k=1}^\infty$  is an orthonormal system we have

$$\begin{aligned} \langle Kh, u_j \rangle &= \left\langle \sum_{n=1}^{\infty} \langle h, g_n \rangle u_n, u_j \right\rangle \\ &= \langle h, g_j \rangle. \end{aligned}$$

By using Proposition 2.8 and the fact that  $\{u_k\}_{k=1}^\infty$  is  $K$ -minimal we conclude that  $g_j = K^*u_j$  is a  $K$ -dual for  $\{u_k\}_{k=1}^\infty$ .  $\square$

**Proposition 3.8.** *Suppose that  $\{u_k\}_{k=1}^\infty$  is a  $K$ -ONB. The  $K$ -dual ONB  $\{g_k\}_{k=1}^\infty = \{K^*u_k\}_{k=1}^\infty$  is a  $K^*$ -ONB if and only if  $K$  is co-isometry.*

*Proof.* Assume that  $\{u_k\}_{k=1}^\infty$  is a  $K$ -ONB. By using Lemma 3.7,  $\{g_k\}_{k=1}^\infty = \{K^*u_k\}_{k=1}^\infty$  is a  $K$ -dual ONB for  $\{u_k\}_{k=1}^\infty$ .

$$\begin{aligned} (3.6) \quad \langle g_k, g_j \rangle &= \langle K^*u_k, K^*u_j \rangle \\ &= \langle KK^*u_k, u_j \rangle \\ &= \langle u_k, u_j \rangle. \end{aligned}$$

Also, for all  $h \in \mathcal{H}$

$$\begin{aligned} (3.7) \quad \sum_{i=1}^{\infty} |\langle h, g_i \rangle|^2 &= \sum_{i=1}^{\infty} |\langle Kh, u_i \rangle|^2 \\ &= \|K^*Kh\|^2 \\ &= \|Kh\|^2. \end{aligned}$$



Equations (3.6) and (3.7) hold if and only if  $K$  is co-isometry.  $\square$

**Proposition 3.9.** *Assume that  $\{\delta_k\}_{k=1}^{\infty}$  is the canonical ONB for  $l^2$  and  $K$  is an isometry. The sequence  $\{u_k\}_{k=1}^{\infty}$  is a  $K$ -ONB if and only if there exists an isometry operator  $D : l^2 \rightarrow \mathcal{H}$  such that  $\{u_k\}_{k=1}^{\infty} = \{D\delta_k\}_{k=1}^{\infty}$  and for all  $h \in \mathcal{H}$ ,  $\|K^*h\| = \|D^*h\|$ .*

*Proof.* First, let  $\{u_k\}_{k=1}^{\infty}$  be a  $K$ -ONB, then

$$\sum_{k=1}^{\infty} |\langle h, u_k \rangle|^2 = \|K^*h\|^2, \quad \forall h \in \mathcal{H}.$$

Define the operator  $L : \mathcal{H} \rightarrow l^2$  by

$$Lu_k = \delta_k, \quad \forall k \in \mathbb{N}.$$

Via the definition of  $L$  we have

$$Lx = \sum_{k=1}^{\infty} \langle Lx, u_k \rangle \delta_k,$$

and

$$\begin{aligned} \langle L^*\delta_k, h \rangle &= \langle \delta_k, Lh \rangle \\ &= \langle u_k, h \rangle. \end{aligned}$$

Considering  $D = L^*$ , the proof is completed. So, for any  $h \in \mathcal{H}$  we have  $\|K^*h\|^2 = \|D^*h\|^2$ .

Conversely, let  $D : l^2 \rightarrow \mathcal{H}$  be defined by  $\{u_k\}_{k=1}^{\infty} = \{D\delta_k\}_{k=1}^{\infty}$ , which is an isometry operator and for any  $h \in \mathcal{H}$ ,  $\|K^*h\|^2 = \|D^*h\|^2$ . Then

$$\begin{aligned} \sum_{k=1}^{\infty} |\langle h, u_k \rangle|^2 &= \sum_{k=1}^{\infty} |\langle h, D\delta_k \rangle|^2 \\ &= \sum_{k=1}^{\infty} |\langle D^*h, \delta_k \rangle|^2 \\ &= \|D^*h\|^2 \\ &= \|K^*h\|^2, \end{aligned}$$

this means that  $\{u_k\}_{k=1}^{\infty} = \{D\delta_k\}_{k=1}^{\infty}$  satisfies  $K$ -Parseval equation. On the other hand,

$$\begin{aligned} \langle u_n, u_m \rangle &= \langle D\delta_n, D\delta_m \rangle \\ &= \langle D^*D\delta_n, \delta_m \rangle \\ &= \delta_{n,m}. \end{aligned}$$

Therefore,  $\{u_k\}_{k=1}^{\infty} = \{D\delta_k\}_{k=1}^{\infty}$  is a  $K$ -ONB, as desired.  $\square$

We know that every frames are preciesly  $\{Uu_k\}_{k=1}^\infty$ , where  $U$  is a bounded surjective operator on  $\mathcal{H}$  and  $\{u_k\}_{k=1}^\infty$  is an ONB for  $\mathcal{H}$  [5]. But, this does not hold for  $K$ -frames. In the following theorem, an interesting relationship is presented between the  $K$ -frames and the  $K$ -orthonormal bases.

**Theorem 3.10.** *Let  $\{u_k\}_{k=1}^\infty$  be a  $K$ -ONB and let  $T : \mathcal{H} \rightarrow \mathcal{H}$  be a closed range operator which commutes with operator  $K$ , i.e.  $TK = KT$  and  $R(K) \subset R(T)$ . Then  $\{Tu_k\}_{k=1}^\infty$  is a  $K$ -frame for  $\mathcal{H}$ . Moreover, if  $\{h_k\}_{k=1}^\infty$  is a  $K$ -frame for  $\mathcal{H}$  then there exists an operator  $T : \mathcal{H} \rightarrow \mathcal{H}$  such that  $R(T)$  is closed,  $R(K) \subset R(T)$  and  $\{h_k\}_{k \in \mathbb{N}} = \{Tu_k\}_{k=1}^\infty$ .*

*Proof.* Let  $\{h_k\}_{k=1}^\infty = \{Tu_k\}_{k=1}^\infty$ . By the assumptions we have

$$(3.8) \quad \begin{aligned} \sum_{k=1}^{\infty} |\langle h, h_k \rangle|^2 &= \sum_{k=1}^{\infty} |\langle T^*h, u_k \rangle|^2 \\ &= \|K^*T^*h\|^2 \\ &\leq \|K^*\|^2 \|T^*\|^2 \|h\|^2. \end{aligned}$$

Also, for  $h \in \mathcal{H}$

$$(3.9) \quad \begin{aligned} \|K^*h\|^2 &= \|(T^\dagger)^*T^*K^*h\|^2 \\ &\leq \|(T^\dagger)^*\|^2 \|K^*T^*h\|^2 \\ &= \sum_{k=1}^{\infty} |\langle h, h_k \rangle|^2. \end{aligned}$$

Therefore

$$\sum_{k=1}^{\infty} |\langle h, h_k \rangle|^2 \geq \|(T^\dagger)^*\|^{-2} \|K^*h\|^2.$$

Equations (3.8) and (3.9) imply that  $\{h_k\}_{k=1}^\infty = \{Tu_k\}_{k=1}^\infty$  is a  $K$ -frame.

For the second part, suppose that  $\{h_k\}_{k=1}^\infty$  is a  $K$ -frame. By Theorem 2.6, there exists a closed range operator  $D : l^2 \rightarrow \mathcal{H}$  such that  $R(K) \subset R(D)$  and  $D\delta_k = h_k$ . Also, by using Proposition 3.9, there exists an isometry operator  $L : l^2 \rightarrow \mathcal{H}$  such that  $\|K^*h\| = \|D^*h\|$  for all  $h \in \mathcal{H}$  and  $L\delta_k = u_k$ . So,  $h_k = DL^{-1}u_k$ . Set  $T = DL^{-1}$ . Since  $R(K) \subset R(D)$  and  $D$  is a closed range, so  $T$  has the same properties.  $\square$

#### 4. K-RIESZ BASES

The Riesz bases are obtained from the operation of a bounded bijective operator on an ONB. They have the same frame conditions, in addition, they are linearly independent.

In this section, we define such a base for the range  $K$ . These bases are not necessarily  $K$ -frames. Next, we consider the conditions where a  $K$ -RB is a  $K$ -frame.

**Definition 4.1.** A sequence  $\{h_k\}_{k=1}^{\infty} = \{Vu_k\}_{k=1}^{\infty}$  is called  $K$ -RB, where  $V$  is an injective operator on  $\mathcal{H}$  such that  $V : R(K) \rightarrow R(K)$  is a bounded bijective operator and  $\{u_k\}_{k=1}^{\infty}$  is a  $K$ -ONB.

According to the above definition, it is easy to see that if  $K = I_{\mathcal{H}}$  then  $K$ -RB is the same as ordinary RB.

**Example 4.2.** Let  $\{u_i\}_{i=1}^{\infty}$  be an ONB in  $l^2$ . Consider the operator  $K$  on  $l^2$  defined as:

$$Ku_i = \begin{cases} u_{i+1}, & i \text{ is odd,} \\ 2u_{i+1}, & i \text{ is even.} \end{cases}$$

It is easy to see that  $\{Ku_i\}_{i=1}^{\infty}$  is a  $K$ -RB for  $l^2$ .

Another difference between frames and ordinary  $K$ -frames is that: not every  $K$ -RB is necessarily a  $K$ -frame. Under the following conditions,  $K$ -RB is also a  $K$ -frame.

**Proposition 4.3.** Let  $\{h_k\}_{k=1}^{\infty} = \{Vu_k\}_{k=1}^{\infty}$  be a  $K$ -RB, as stated in Definition 4.1 and  $KV = VK$ . Then  $\{h_k\}$  is a  $K$ -frame with the frame bounds  $\|K\|^2\|V\|^2$  and  $\|V^{-1}\|^{-2}$ .

*Proof.* Let  $h \in \mathcal{H}$ . Since  $\{h_k\}_{k=1}^{\infty}$  is a  $K$ -RB, so we have

$$\begin{aligned} \sum_{i=1}^{\infty} |\langle h, h_k \rangle|^2 &= \sum_{i=1}^{\infty} |\langle h, Vu_k \rangle|^2 \\ &= \sum_{i=1}^{\infty} |\langle V^*h, u_k \rangle|^2 \\ &= \|K^*V^*h\|^2 \\ &= \|V^*K^*h\|^2 \\ &\leq \|V^*\|^2\|K^*\|^2\|h\|^2. \end{aligned}$$

To obtain lower bound we use the invertibility of  $V$  on  $R(K)$

$$\begin{aligned} \|K^*h\|^2 &= \|(V^{-1})^*V^*K^*h\|^2 \\ &\leq \|(V^{-1})^*\|^2\|V^*K^*h\|^2 \\ &= \|(V^{-1})^*\|^2 \sum_{i=1}^{\infty} |\langle V^*h, u_k \rangle|^2. \end{aligned}$$

Therefore,

$$\|(V^{-1})^*\|^{-2}\|K^*h\|^2 \leq \sum_{i=1}^{\infty} |\langle h, h_k \rangle|^2 \leq \|V^*\|^2 \|K^*\|^2 \|h\|^2.$$

This shows that  $\{h_k\}_{k=1}^{\infty} = \{Vu_k\}_{k=1}^{\infty}$  is a  $K$ -frame.  $\square$

The similarity of  $K$ -RB to ordinary RB is that they are both minimal. In other words, a  $K$ -RB is exactly a  $K$ -minimal frame.

**Proposition 4.4.** *Let  $\{h_i\}_{i=1}^{\infty}$  be  $K$ -frame. Then each of the following statements implies the other one*

- a) *the sequence  $\{h_i\}_{i=1}^{\infty}$  is  $K$ -RB,*
- b) *the sequence  $\{h_i\}_{i=1}^{\infty}$  is  $K$ -minimal.*

*Proof.* Let  $\{h_k\}_{k=1}^{\infty}$  be a  $K$ -minimal frame. By Proposition 3.9 there exists a bounded closed range operator  $L : l^2 \rightarrow \mathcal{H}$  such that  $R(K) \subset R(L)$  and for any  $i$ ,  $L\delta_i = h_i$ . Furthermore, by Proposition 3.9 there exists an isometry operator  $D$  on  $\mathcal{H}$  such that  $D\delta_k = u_k$ . Now, set  $Vu_k = LD^{-1}u_k = h_k$ . Since  $\{h_k\}$  is  $K$ -minimal, so

$$\begin{aligned} 0 &= \sum_{i=1}^{\infty} c_i h_i \\ &= \sum_{i=1}^{\infty} c_i V u_i \\ &= V \left( \sum_{i=1}^{\infty} c_i u_i \right). \end{aligned}$$

This implies that  $V$  is a bounded injective operator such that  $V$  is bounded bijective on  $R(K)$ .

Conversely, let  $\{h_i\}_{i=1}^{\infty} = \{Vu_i\}_{i=1}^{\infty}$  be a  $K$ -RB, where  $V : R(K) \rightarrow R(K)$  is a bounded bijective operator and  $\{u_i\}_{i=1}^{\infty}$  is a  $K$ -ONB. Let  $\{c_i\}_{i=1}^{\infty}$  be a sequence such that

$$\begin{aligned} 0 &= \sum_{i=1}^{\infty} c_i h_i \\ &= \sum_{i=1}^{\infty} c_i V u_i \\ &= V \left( \sum_{i=1}^{\infty} c_i u_i \right). \end{aligned}$$

Since,  $V$  is injective then for any  $i \in \mathbb{N}$ ,  $c_i = 0$ . Therefore,  $\{h_i\}_{i=1}^{\infty}$  is a  $K$ -minimal frame.  $\square$

**Corollary 4.5.** *Let  $\{h_j\}_{j=1}^\infty = \{Vu_j\}_{j=1}^\infty$  be an ordinary RB, where  $V$  is a bounded bijective operator on  $\mathcal{H}$  and  $\{u_j\}_{j=1}^\infty$  is an ONB for  $\mathcal{H}$ . If  $K$  is an isometry operator such that  $VK = KV$  then  $\{Kh_j\}_{j=1}^\infty$  is  $K$ -RB for  $\mathcal{H}$ .*

*Proof.* The assumptions imply that

$$\begin{aligned} \{Kh_j\}_{j=1}^\infty &= \{KVu_j\}_{j=1}^\infty \\ &= \{VKu_j\}_{j=1}^\infty. \end{aligned}$$

By Theorem 3.3,  $\{Ku_j\}_{j \in \mathbb{N}}$  is a  $K$ -ONB. Thus  $\{Kh_j\}_{j=1}^\infty$  is  $K$ -RB.  $\square$

**Example 4.6.** Let  $\mathcal{H} = \mathbb{C}^3$  and  $\{u_1, u_2, u_3\}$  be canonical basis for  $\mathcal{H}$ . Now define  $K \in L(\mathcal{H})$  as follows

$$K : \mathcal{H} \rightarrow \mathcal{H}, \quad Ku_1 = u_1, \quad Ku_2 = u_1, \quad Ku_3 = u_2.$$

Obviously,  $K$  is not an isometry also  $\{Ku_j\}_{j=1}^\infty$  is not  $K$ -RB.

The next proposition gives a condition in which a  $K$ -RB has  $K$ -dual. By Proposition 4.4 and Proposition 2.8 the  $K$ -dual RB is unique. But, the  $K$ -Riesz dual dose not have the same property.

**Proposition 4.7.** *Assume that  $\{h_j\}_{j=1}^\infty = \{Vu_j\}_{j=1}^\infty$  is a  $K$ -RB such that  $VK = KV$ . Then  $\{g_j\}_{j=1}^\infty = \{K^*(V^{-1})^*u_j\}_{j=1}^\infty$  is a  $K$ -dual frame for  $\{h_j\}_{j \in \mathbb{N}} = \{Vu_j\}_{j=1}^\infty$ . The sequence  $\{g_j\}_{j=1}^\infty$  is a  $K^*$ -RB whenever  $K$  is injective.*

*Proof.* By Theorem 2.6,  $\{h_j\}_{j=1}^\infty$  is a  $K$ -frame. Thus there exists a Bessel sequence  $\{g_j\}_{j=1}^\infty$  such that

$$Kh = \sum_{j=1}^{\infty} \langle h, g_j \rangle h_j, \quad \forall h \in \mathcal{H}.$$

On the other hand, since  $V$  is an invertible operator on  $R(K)$ , so

$$\begin{aligned} Kh &= VV^{-1}Kh \\ &= VKV^{-1}h \\ &= V \sum_{j=1}^{\infty} \langle V^{-1}h, K^*u_j \rangle u_j \\ &= \sum_{j=1}^{\infty} \langle h, K^*(V^{-1})^*u_j \rangle h_j. \end{aligned}$$

Assuming  $\{h_j\}_{j=1}^\infty$  is a  $K$ -RB we can conclude that it is  $K$ -minimal, so the  $K$ -dual is unique. Therefore  $g_j = K^*(V^{-1})^*u_j$ .  $\square$

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